



732 North Capitol Street NW  
Washington, DC 20401-0050

**For Official Use Only**

June 13, 2013

Ms. Carol Bates  
Inspector General  
Architect of the Capitol  
499 South Capitol Street SW  
Suite 518  
Washington, DC 20515

**Re: Final Report on the Quality Assessment Review of the  
Investigative Operations of the Office of Inspector General for  
the Architect of the Capitol (AOC OIG)**

Dear Ms. Bates:

We reviewed the system of internal safeguards and management procedures for the AOC OIG Office of Investigations in effect for the period ending April 26, 2013. Our review was conducted in conformity with the Quality Standards for Investigations and the Quality Assessment Review Guidelines established by the Council of the Inspectors General on Integrity and Efficiency (CIGIE), and the Attorney General's Guidelines for Office of Inspectors General with Statutory Law Enforcement Authority, as applicable.

We reviewed compliance with your organization's system of internal policies and procedures to the extent we considered appropriate. The review was conducted at your organization's sole Office of Investigations location in Washington, D.C. We interviewed all Office of Investigations personnel regarding their independent knowledge of internal policies and procedures, as well as investigative guidelines established by CIGIE and the Attorney General. Finally, we reviewed key programmatic areas, such as your evidence, weapons, and training programs, and sampled 23 case files for investigations closed during fiscal years 2012 and 2013 (Attachments 1 and 2).

In performing our review, we have given appropriate consideration to the Inspector General Act of 1978 (Public Law 95-452), as amended, Section 812 of the Homeland Security Act of 2002 (Public Law 107-296), the Architect of the Capitol Inspector General Act of 2007 (Public Law 110-161), and the

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applicable Attorney General guidelines. These documents articulate the specific authorities, roles, responsibilities, and requirements of your office as whole and, specifically in this instance, your Office of Investigations.

In our opinion, the system of internal safeguards and management procedures for the AOC OIG Office of Investigations in effect as of April 26, 2013, is **Compliant** with the quality standards established by the CIGIE and the applicable Attorney General guidelines. These safeguards and procedures provide reasonable assurance of conforming with professional standards in the planning, execution and reporting of its investigations.

On June 12, 2013, we received your response, dated June 4, 2013, to our Draft Quality Assessment Review Report in which you concurred with our assessment (Attachment 3). Consequently, our review team will take no further action and we consider this matter closed.

In closing, I would like to commend your entire Office of Investigations staff for their full cooperation and professionalism that they afforded to my team during this entire review process. Undoubtedly, you have a talented cadre of investigators that have and will continue to make positive contributions to your organization's mission and that of the AOC overall.

In the event you have questions or concerns regarding this opinion or our review process, please contact me at (202) 512-1512, or have your staff contact Assistant Inspector General for Investigations Bryan Denny at (202) 512-0318.

Sincerely,



Michael A. Raponi  
Inspector General

Attachments:

1. Listing of Office(s) Visited and Personnel Interviewed
2. Listing of Sampled Closed Investigative Files
3. AOC OIG Response to the Draft Quality Assessment Review Report

cc: Executive Director  
Council of the Inspectors General on Integrity and Efficiency  
1717 H Street NW, Suite 825  
Washington, DC 20006

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**Attachment 1: Listing of Office(s) Visited and Personnel Interviewed**

**Office(s) Visited**

- AOC OIG Office of Investigations, Washington, D.C.

**Personnel Assigned and Interviewed**

- Number of personnel assigned to AOC OIG Office of Investigations – 4  
(3 Criminal Investigators including the Assistant Inspector General  
for Investigations and 1 Administrative Investigator)
- Number of AOC OIG Office of Investigations personnel interviewed –  
4

**Attachment 2 - Listing of Sampled Closed Investigative Files**

1. 12-0002-I
2. 12-0003-I
3. 12-0004-I
4. 12-0005-I
5. 12-0006-I
6. 12-0007-I
7. 12-0008-I
8. 12-0009-I
9. 12-0010-I
10. 12-0011-I
11. 12-0012-I
12. 12-0013-I
13. 12-0014-I
14. 12-0016-I
15. 12-0019-I
16. 12-0020-I
17. 12-0021-I
18. 12-0024-I
19. 12-0026-I
20. 12-0027-I
21. 12-0028-I
22. 13-0001-I
23. 13-0005-I

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**Attachment 3 – AOC OIG Response to Draft Quality Assessment Review  
Report**



Office of Inspector General  
429 South Capitol Street, SW Suite 518  
Washington, DC 20545  
202.593.1948  
www.aoc.gov

2013 JUN 12 AM 7:21  
OFFICE OF THE  
INSPECTOR GENERAL

June 4, 2013

Mr. Michael A. Raponi  
Inspector General  
U.S. Government Printing Office  
732 North Capitol Street NW  
Washington, DC 20401-0050

Dear Mr. Raponi,

We have reviewed your Draft Report of our most recent investigative Quality Assessment Review (QAR) and concur with the content and completeness of the report in its entirety. We greatly value your opinion that the system of internal safeguards and management procedures for the AOC OIG Office of Investigations is compliant with the quality standards established by the Council of the Inspectors General on Integrity and Efficiency.

This was our first investigative QAR since establishing our initial investigative capability in 2009. Your team's interaction with my investigative staff on areas of potential mutual assistance and benchmarking opportunities will provide invaluable benefit to this office for many years to come.

Please thank Assistant Inspector General for Investigations, Bryan Denny, and the rest of his QAR team for a job exceptionally well done. Their level of professionalism and thoroughness serves as a beacon of respect for your entire office. We also recognize the time sacrifices entailed in conducting a quality QAR. This process was effective, timely, and exceptionally beneficial for all of us, and we could not be more pleased with the planning, execution, and reporting of this QAR.

My point of contact is Paul M. Clark, Sr., Assistant Inspector General for Investigations, who may be reached on 202.593.1943.

Sincerely,

Carol M. Bates  
Inspector General